



*8/6/01*

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	\$65.00
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## Compl te if Known

Application Number	09/876,348
Filing Date	June 7, 2001
First Named Inventor	Kathleen L. Horwath
Examiner Name	Unknown
Group Art Unit	1645
Attorney Docket No.	RB-125 RI

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-0077**

Deposit Account Name **Salzman & Levy**

- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR § 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	65
127	50	Surcharge - late provisional filing fee or cover sheet	65.00
139	130	Non - English specification	
147	2,520	For filing a request for ex parte reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	390	Extension for reply within second month	
117	890	Extension for reply within third month	
118	1,390	Extension for reply within fourth month	
128	1,890	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,240	Petition to revive - unintentional	
142	1,240	Utility issue fee (or reissue)	
143	440	Design issue fee	
144	600	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	
146	710	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	Request for Continued Examination (RCE)	
169	900	Request for expedited examination of a design application	
Other fee (specify) _____			

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)

Small Entity Fee Code (\$)

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	Utility filing fee	
106	320	Design filing fee	
107	490	Plant filing fee	
108	710	Reissue filing fee	
114	150	Provisional filing fee	
SUBTOTAL (1) <b>  \$0.00  </b>			

### 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <b>-20** = 0</b>	X <b>  \$0.00  </b>	= <b>  \$0.00  </b>
Independent Claims <b>-3** = 0</b>	X <b>  \$0.00  </b>	= <b>  \$0.00  </b>
Multiple Dependent		

Large Entity Fee Code (\$)

Small Entity Fee Code (\$)

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claim, if not paid
109	80	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) <b>  \$0.00  </b>		

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

**\$65.00**

\*\*or number previously paid, if greater; For Reissues, see above

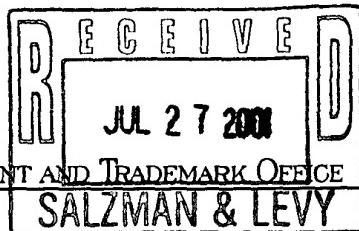
## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Mark Levy	Registration No. (Attorney/Agent)	29,188	Telephone	607-722-6600
Signature				Date	8/7/01

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COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/876,348	06/07/2001	Kathleen L. Horwath	RB-125 RI

### CONFIRMATION NO. 9095

#### FORMALITIES LETTER



\*OC00000006341739\*

Mark Levy  
SALZMAN & LEVY  
Ste. 902  
19 Chenango St.  
Binghamton, NY 13901

Date Mailed: 07/25/2001

Due 9/25/01

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

##### FILED UNDER 37 CFR 1.53(b)

##### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- ✓ • The signature of the following inventor(s) is missing from the oath or declaration:  
*Kevin L. Meyers*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
  - The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR

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1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

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*Allyn*  
*Customer Service Center*  
*Initial Patent Examination Division (703) 308-1202*  
*PART 2 - COPY TO BE RETURNED WITH RESPONSE*

FINGERPRINTS